Chapter 8 REALIZATION 8.1 Introduction 8.2.1 Direct Realization 8.2.2 Direct Canonic Realization

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> > July 14, 2018

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Introduction

• Previous presentations considered the basics of signal analysis and the characterization and analysis of discrete-time systems in general.

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- The remaining presentations deal with the design of discrete-time systems that can be used to reshape the spectral characteristics of discrete-time signals, namely, digital filters.
- The design of digital filters encompasses all the activities that need to be undertaken from the point where a need for a specific type of digital filter is identified to the point where a prototype is constructed, tested, and approved.

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- In direct methods, the problem is solved directly in the *z* domain.
- In indirect methods, a continuous-time transfer function is first obtained and then converted into a corresponding discrete-time transfer function.
- Nonrecursive filters are always designed through direct methods whereas recursive filters can be designed either through direct or indirect methods.

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- In closed-form methods, the problem is solved through a small number of design steps using a set of closed-form formulas.
- In iterative methods, an initial solution is assumed and through the application of optimization methods a series of progressively improved solutions are obtained until some design criterion is satisfied.

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 - require minimal computation effort, and so on.

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- As for approximation methods, realization methods can be classified as *direct* or *indirect*.
- In direct methods the filter structure is obtained directly from a given discrete-time transfer function whereas in indirect realizations it is obtained indirectly from an equivalent continuous-time transfer function.

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- The designer is usually interested in realizations that
 - are easy to implement in very-large-scale integrated (VLSI) circuit form,
 - require the minimum number of unit delays, adders, and multipliers,
 - are not seriously affected by the use of finite-precision arithmetic in the implementation, and so on.

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Study of Arithmetic Errors

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- A design will be approved to the extent that design imperfections do not violate the desired specifications.
- In digital filters and digital systems in general, most imperfections are caused by *numerical imprecision* of some form and studying the ways in which numerical imprecision will manifest itself needs to be undertaken.

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Study of Arithmetic Errors Cont'd

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- In practice, however, digital hardware has finite precision that depends
 - on the length of registers used to store numbers
 - the type of number system used (e.g., signed-magnitude, two's complement)
 - the type of arithmetic used (e.g., fixed-point or floating-point), etc.

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- In order to accommodate filter coefficients in registers, they must be quantized (e.g., rounded or truncated).
- When the transfer function coefficients are quantized, errors are introduced in the amplitude and phase responses of the filter, which are commonly referred to as *quantization errors*.

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- Errors introduced by the quantization of signals can be treated as *noise sources* and, as a consequence, they can have a dramatic effect on the processed signal.
- In short, the *effects of arithmetic errors* on the performance of the filter must be investigated and ways must be found to mitigate any problems associated with numerical imprecision.

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- A software implementation involves the simulation of the filter network or difference equation on a general-purpose digital computer, workstation, or DSP chip.

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- A hardware implementation involves the conversion of the filter network into a dedicated piece of hardware.

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- In *real-time* applications, however, where data must be processed at a very high rate, e.g., in communication systems, a hardware implementation is mandatory.
- Often the best engineering solution might be partially in terms of software and partially in terms of hardware since software and hardware are highly exchangeable nowadays.

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• The natural order of the four basic design steps is as stated in the preceding discussion, namely, approximation, realization, study of imperfections, and implementation.

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- However, realization is the easiest to learn and for this reason it will be treated first.
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- See end of Chap. 8 for a discussion on the implementation of digital filters.

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Realization

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Realization

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- In direct methods, the transfer function is put in some form that enables the identification of an interconnection of elemental digital-filter subnetworks.
- Some of these methods are as follows:
 - Direct
 - Direct canonic
 - State-space
 - Lattice
 - Parallel
 - Cascade

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Direct Realization

• An arbitrary causal *nonrecursive* filter can be represented by the equation

$$Y(z) = N(z)X(z) = \left[\sum_{i=0}^{N} a_i z^{-i}\right]X(z)$$

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$$Y(z) = N(z)X(z) = \left[\sum_{i=0}^{N} a_i z^{-i}\right]X(z)$$

• We can write

$$Y(z) = \left[a_0 + z^{-1} \sum_{i=1}^{N} a_i z^{-i+1}\right] X(z)$$

or
$$Y(z) = [a_0 + z^{-1} N_1(z)] X(z)$$

where
$$N_1(z) = \sum_{i=1}^{N} a_i z^{-i+1}$$

or

i=1

$$Y(z) = N(z)X(z) = [a_0 + z^{-1}N_1(z)]X(z)$$

• Therefore, transfer function N(z) can be realized by using

- a multiplier with a constant a_0
- a unit delay, and
- a network with a transfer function $N_1(z)$

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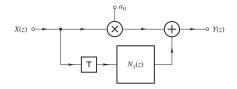
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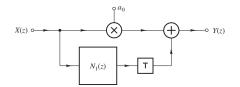
- a multiplier with a constant a_0
- a unit delay, and
- a network with a transfer function $N_1(z)$
- The unit delay can be connected in cascade with the network for $N_1(z)$ to form $z^{-1}N_1(z)$ and the multiplier can be connected in parallel with the network for $z^{-1}N_1(z)$ to form $a_0 + z^{-1}N_1(z)$.

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$$Y(z) = [a_0 + z^{-1}N_1(z)]X(z)$$

• There are two possible realizations as follows:





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Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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$$N_1(z) = \sum_{i=1}^N a_i z^{-i+1}$$

• Proceeding as before, we can now write

$$N_1(z) = a_1 + z^{-1} \sum_{i=2}^{N} a_i z^{-i+2} = a_1 + z^{-1} N_2(z)$$

where

$$N_2(z) = \sum_{i=2}^N a_i z^{-i+2}$$

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Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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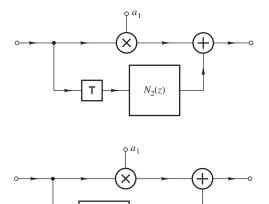
$$N_1(z) = a_1 + z^{-1} N_2(z)$$

- Therefore, transfer function $N_1(z)$ can be realized by using
 - a multiplier with a constant a_1
 - a unit delay, and
 - a network with a transfer function $N_2(z)$

$$N_1(z) = a_1 + z^{-1} N_2(z)$$

- Therefore, transfer function $N_1(z)$ can be realized by using
 - a multiplier with a constant a_1
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 - a network with a transfer function $N_2(z)$
- The unit delay can be connected in cascade with the network for $N_2(z)$ to form $z^{-1}N_2(z)$ and the multiplier can be connected in parallel with the network for $z^{-1}N_2(z)$ to form $a_0 + z^{-1}N_2(z)$.

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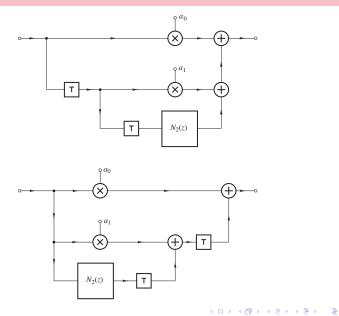
Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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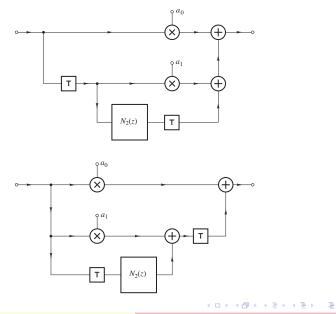
• Since there are two possible realizations for $N_1(z)$ it follows that there are four possible realizations for N(z) as illustrated in the next two slides.

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Frame # 25 Slide # 71

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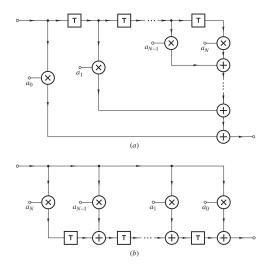
• Repeating the same procedure N times will reduce the realization of $N_N(z)$ to a single multiplier with a constant a_N .

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- Since there are N iterations in the procedure, and each iteration multiplies the number of distinct realizations by 2, a total of 2^N realizations are possible for N(z).

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- Three of the possible realizations are shown in the next two slides.

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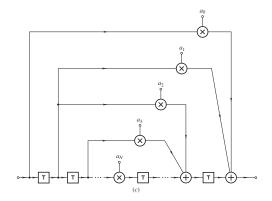


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Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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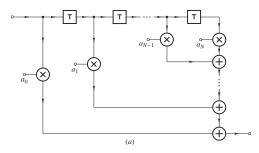
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Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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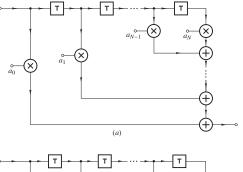
• Another interesting realization can be obtained from the first of the previous structures by noting that the outputs of multipliers a_0, a_1, \ldots, a_N can be added in the reverse order without changing the output.

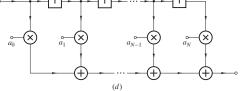


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Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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Frame # 30 Slide # 78

Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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• The derived new structure is seen to be highly regular and it is, therefore, attractive for VLSI implementation (see Sec. 8.3).

• A causal *recursive* digital filter characterized by an *N*th-order transfer function can be represented by the equation

$$\frac{Y(z)}{X(z)} = H(z) = \frac{N(z)}{D(z)} = \frac{N(z)}{1 + D'(z)}$$

where

$$N(z) = \sum_{i=0}^{N} a_i z^{-i}$$
 and $D'(z) = \sum_{i=1}^{N} b_i z^{-i}$

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 and $D'(z) = \sum_{i=1}^{N} b_i z^{-i}$

• We can write

$$\begin{array}{ll} Y(z) \,=\, N(z)X(z) - D'(z)Y(z) \\ \\ \text{or} & Y(z) \,=\, U_1(z) + U_2(z) \\ \\ \text{where} & U_1(z) \,=\, N(z)X(z) \ \, \text{and} \ \ \, U_2(z) = -D'(z)Y(z) \end{array}$$

. . .

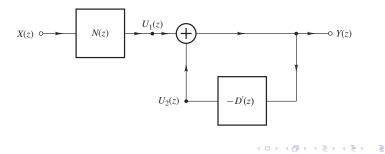
$$Y(z) = N(z)X(z) - D'(z)Y(z)$$
(A)

or

$$Y(z) = U_1(z) + U_2(z)$$

where $U_1(z) = N(z)X(z)$ and $U_2(z) = -D'(z)Y(z)$

• Therefore, the recursive filter can be obtained by realizing N(z) and -D'(z) as nonrecursive filters and then connecting the resulting two nonrecursive filters as shown in the block diagram:



• Each of the two nonrecursive filters can be realized using the procedure outlined before.

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- Since

$$N(z) = \sum_{i=0}^{N} a_i z^{-i}$$
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the only difference between the two transfer functions is that

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the only difference between the two transfer functions is that

- the coefficient for z^0 is zero in -D'(z) and

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Since

$$N(z) = \sum_{i=0}^{N} a_i z^{-i}$$
 and $-D'(z) = -\sum_{i=1}^{N} b_i z^{-i}$

the only difference between the two transfer functions is that

- the coefficient for z^0 is zero in -D'(z) and
- its coefficients are the negatives of the coefficients of the denominator of the transfer function.

Example

Realize the transfer function

$$H(z) = \frac{a_0 + a_1 z^{-1} + a_2 z^{-2}}{1 + b_1 z^{-1} + b_2 z^{-2}}$$

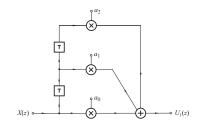
using the direct method.

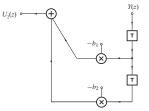
Solution The recursive structure can be obtained by realizing the nonrecursive transfer functions

$$N(z) = a_0 + a_1 z^{-1} + a_2 z^{-2}$$
 and $-D'(z) = -b_1 z^{-1} - b_2 z^{-2}$

Example Cont'd

A pair of possible realizations for N(z) and -D'(z) are as shown:





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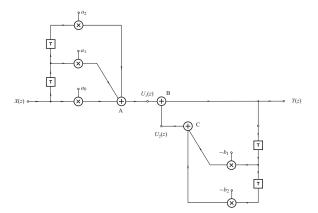
Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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Example Cont'd

Connecting the realizations for N(z) and -D'(z) according to the block diagram, we get:



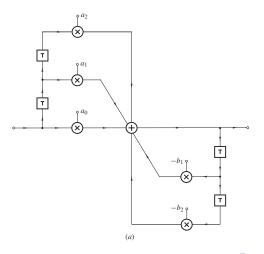
Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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Example Cont'd

Now if we combine adders A, B, and C into a 5-input adder, we get the simplified structure shown:

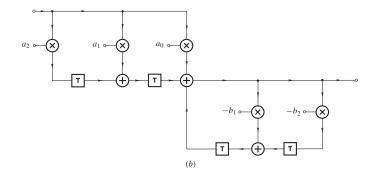


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Another possible direct realization that can be obtained using the same method is as follows:



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• The minimum number of unit delays required to realize an *N*th-order transfer function is *N*.

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- If the number of unit delays in an *N*th-order digital-filter structure is *N*, then the structure is said to be *canonic*.

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- The minimum number of unit delays required to realize an *N*th-order transfer function is *N*.
- If the number of unit delays in an *N*th-order digital-filter structure is *N*, then the structure is said to be *canonic*.
- The structures that can be obtained with the direct realization require 2*N* unit delays.

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- The minimum number of unit delays required to realize an *N*th-order transfer function is *N*.
- If the number of unit delays in an *N*th-order digital-filter structure is *N*, then the structure is said to be *canonic*.
- The structures that can be obtained with the direct realization require 2*N* unit delays.
- However, one of the many possibilities can be rendered canonic through a simple technique, as will be shown.

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• The equation

$$\frac{Y(z)}{X(z)} = H(z) = \frac{N(z)}{D(z)} = \frac{N(z)}{1 + D'(z)}$$

can be expressed

$$Y(z) = \frac{N(z)X(z)}{1+D'(z)}$$

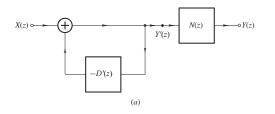
or

$$Y(z) = N(z)Y'(z)$$
 where $Y'(z) = rac{X(z)}{1+D'(z)}$

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. $Y(z) = N(z)Y'(z) \quad \text{where} \quad Y'(z) = \frac{X(z)}{1 + D'(z)}$

• These equations represent the system shown in the figure.



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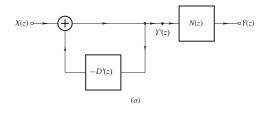
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$$Y(z)=N(z)Y'(z)$$
 where $Y'(z)=rac{X(z)}{1+D'(z)}$

- These equations represent the system shown in the figure.
- Therefore, a digital-filter structure can be obtained by realizing the transfer functions

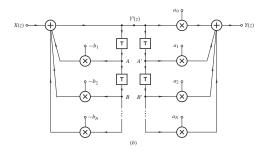
$$N(z)$$
 and $rac{1}{1+D'(z)}$

and then connecting the two realizations as shown:



A B K A B K

• Using the direct realization method, the configuration shown can be obtained.



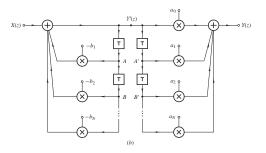
Frame # 43 Slide # 99

Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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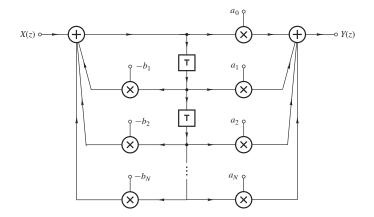
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- Using the direct realization method, the configuration shown can be obtained.
- We note that the signals at nodes A', B', ... are exactly the same as the signals at nodes A, B, ... and, therefore, nodes A, B, ... can be connected to nodes A', B', ... and one set of unit delays can be eliminated.



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Digital Signal Processing – Secs. 8.1, 8.2.1, 8.2.2

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This slide concludes the presentation. Thank you for your attention.